Appendix C

Supplementary Instructions for AIA A305, EXHIBITS A, B, C, D & E

### **Supplementary Instructions for completing AIA Document A305 – 2020**

AIA Document A305-2020 is available for purchase at <a href="www.aiacontracts.org">www.aiacontracts.org</a>.

### Complete A305 following the supplementary instructions below

#### **Exhibit A - General Information**

- § A.1.3.3 No answer required.
- § A.2.1 Delete § A.2.1 and replace it with the following: Complete Exhibit D to describe up to four projects, either completed or in progress, that are representative of your organization's experience and capabilities. When you complete Exhibit D, to the extent possible, please make specific reference to similar public safety and/or design-build projects your company has completed.

Attach a summary sheet for each project listed identifying the scope of services provided, specific project features, project size, start and scheduled/actual completion dates, final cost vs. budget comparisons and any project challenges you encountered during design or construction.

§ A.3.4 No answer required.

### Exhibit B – Financial and Performance Information

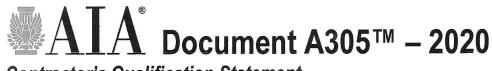
§ B.1.2 Delete § B.1.2 and replace it with the following: Attach a brief statement regarding your company's financial strength and capability to design and construct the Project. A letter from your company's primary financial institution would suffice.

### Exhibit C – Project Specific Information

- § C.1.1 No answer required.
- § C.1.2 No answer required.
- § C.1.3 No answer required.
- **§ C.1.4** Also identify the proposed Architect -Engineer and other design professionals providing professional services with their qualifications. They must be fully licensed to conduct work in the State of Maine.
- C.4.2 Not Applicable
- § C.4.3 Delete § C.4.3 and replace it with the following: Confirm your organization can provide the insurance described on AIA A141 2017 Exhibit B as amended and included in the Request for Proposals.

### Exhibits D & E – Contractor's Past Project Experience

Attach a summary sheet for each project listed on each Exhibit identifying the scope of services provided, specific project features, project size, start and scheduled/actual completion dates, final cost vs. budget comparisons and any project challenges you encountered during design or construction.



### **Contractor's Qualification Statement**

THE PARTIES SHOULD EXECUTE A SEPARATE CONFIDENTIALITY AGREEMENT IF THEY INTEND FOR ANY OF THE INFORMATION IN THIS A305-2020 TO BE HELD CONFIDENTIAL.

### SUBMITTED BY:

### SUBMITTED TO:

(Organization name and address.)

(Organization name and address.)

This document has important legal consequences.
Consultation with an attorney is encouraged with respect to its completion or modification.

### TYPE OF WORK TYPICALLY PERFORMED

(Indicate the type of work your organization typically performs, such as general contracting, construction manager as constructor services, HVAC contracting, electrical contracting, plumbing contracting, or other.)

THIS CONTRAC (Check all that	CTOR'S QUALIFICATION STATEMENT INCLUDES THE FOLLOWING:				
	Exhibit A – General Information				
	Exhibit B – Financial and Performance Information				
	Exhibit C – Project-Specific Information				
	Exhibit D – Past Project Experience				
	Exhibit E – Past Project Experience (Continued)				
The undersigne true and suffici	CERTIFICATION  ed certifies under oath that the information provided in this Contractor's Qualification Statement is iently complete so as not to be misleading.				
Organization's	Authorized Representative Signature Date				
Printed Name a	and Title				
NOTARY State of: County of:					
Signed and sw	orn to before me this day of				
Notary Signatu					
My commission	n expires:				



### General Information

This Exhibit is part of the Contractor's Qualification Statement, submitted by and dated the day of in the year (In words, indicate day, month and year.)

§ A.1 ORGANIZATION § A.1.1 Name and Location § A.1.1.1 Identify the full legal name of your organization.

This document has important legal consequences.
Consultation with an attorney is encouraged with respect to its completion or modification.

§ A.1.1.2 List all other names under which your organization currently does business and, for each name, identify jurisdictions in which it is registered to do business under that trade name.

§ A.1.1.3 List all prior names under which your organization has operated and, for each name, indicate the date range and jurisdiction in which it was used.

§ A.1.1.4 Identify the address of your organization's principal place of business and list all office locations out of which your organization conducts business. If your organization has multiple offices, you may attach an exhibit or refer to a website.

### § A.1.2 Legal Status

§ A.1.2.1 Identify the legal status under which your organization does business, such as sole proprietorship, partnership, corporation, limited liability corporation, joint venture, or other.

- .1 If your organization is a corporation, identify the state in which it is incorporated, the date of incorporation, and its four highest-ranking corporate officers and their titles, as applicable.
- .2 If your organization is a partnership, identify its partners and its date of organization.
- .3 If your organization is individually owned, identify its owner and date of organization.
- .4 If the form of your organization is other than those listed above, describe it and identify its individual leaders:

§ A.1.2.2 Does your organization own, in whole or in part, any other construction-related businesses? If so, identify and describe those businesses and specify percentage of ownership.

### § A.1.3 Other Information

- § A.1.3.1 How many years has your organization been in business?
- § A.1.3.2 How many full-time employees work for your organization?
- § A.1.3.3 List your North American Industry Classification System (NAICS) codes and titles. Specify which is your primary NAICS code.
- § A.1.3.4 Indicate whether your organization is certified as a governmentally recognized special business class, such as a minority business enterprise, woman business enterprise, service disabled veteran owned small business, woman owned small business, small business in a HUBZone, or a small disadvantaged business in the 8(a) Business Development Program. For each, identify the certifying authority and indicate jurisdictions to which such certification applies.

### § A.2 EXPERIENCE

- § A.2.1 Complete Exhibit D to describe up to four projects, either completed or in progress, that are representative of your organization's experience and capabilities.
- § A.2.2 State your organization's total dollar value of work currently under contract.
- § A.2.3 Of the amount stated in Section A.2.2, state the dollar value of work that remains to be completed:
- § A.2.4 State your organization's average annual dollar value of construction work performed during the last five years.

### § A.3 CAPABILITIES

- § A.3.1 List the categories of work that your organization typically self-performs.
- § A.3.2 Identify qualities, accreditations, services, skills, or personnel that you believe differentiate your organization from others.
- § A.3.3 Does your organization provide design collaboration or pre-construction services? If so, describe those services.
- § A.3.4 Does your organization use building information modeling (BIM)? If so, describe how your organization uses BIM and identify BIM software that your organization regularly uses.

§ A.3.5 Does your organization use a project management information system? If so, identify that system.

### § A.4 REFERENCES

§ A.4.1 Identify three client references: (Insert name, organization, and contact information)

§ A.4.2 Identify three architect references: (Insert name, organization, and contact information)

§ A.4.3 Identify one bank reference: (Insert name, organization, and contact information)

**§ A.4.4** Identify three subcontractor or other trade references: (*Insert name, organization, and contact information*)





### Financial and Performance Information

This Exhibit is part of the Contractor's Qualification Statement, submitted by and dated the day of in the year (In words, indicate day, month and year.)

§ B.1 FINANCIAL

§ B.1.1 Federal tax identification number:

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

§ B.1.2 Attach financial statements for the last three years prepared in accordance with Generally Accepted Accounting Principles, including your organization's latest balance sheet and income statement. Also, indicate the name and contact information of the firm that prepared each financial statement.

§ B.1.3 Has your organization, its parent, or a subsidiary, affiliate, or other entity having common ownership or management, been the subject of any bankruptcy proceeding within the last ten years?

§ B.1.4 Identify your organization's preferred credit rating agency and identification information. (Identify rating agency, such as Dun and Bradstreet or Equifax, and insert your organization's identification number or other method of searching your organization's credit rating with such agency.)

#### § B.2 DISPUTES AND DISCIPLINARY ACTIONS

§ B.2.1 Are there any pending or outstanding judgments, arbitration proceedings, bond claims, or lawsuits against your organization, its parent, or a subsidiary, affiliate, or other entity having common ownership or management, or any of the individuals listed in Exhibit A, Section 1.2, in which the amount in dispute is more than \$75,000? (If the answer is yes, provide an explanation.)

§ B.2.2 In the last five years, has your organization, its parent, or a subsidiary, affiliate, or other entity having common ownership or management:

(If the answer to any of the questions below is yes, provide an explanation.)

- failed to complete work awarded to it?
- been terminated for any reason except for an owners' convenience?
- had any judgments, settlements, or awards pertaining to a construction project in which your organization .3 was responsible for more than \$75,000?

- .4 filed any lawsuits or requested arbitration regarding a construction project?
- § B.2.3 In the last five years, has your organization, its parent, or a subsidiary, affiliate, or other entity having common ownership or management; or any of the individuals listed in Exhibit A Section 1.2: (If the answer to any of the questions below is yes, provide an explanation.)
  - .1 been convicted of, or indicted for, a business-related crime?
  - .2 had any business or professional license subjected to disciplinary action?
  - .3 been penalized or fined by a state or federal environmental agency?



### **Project Specific Information**

This Exhibit is part of the Contractor's Qualification Statement, submitted by and dated the day of in the year (In words, indicate day, month and year.)

#### PROJECT:

(Name and location or address.)

This document has important legal consequences.
Consultation with an attorney is encouraged with respect to its completion or modification.

#### CONTRACTOR'S PROJECT OFFICE:

(Identify the office out of which the contractor proposes to perform the work for the Project.)

### TYPE OF WORK SOUGHT

(Indicate the type of work you are seeking for this Project, such as general contracting, construction manager as constructor, design-build, HVAC subcontracting, electrical subcontracting, plumbing subcontracting, etc.)

### **CONFLICT OF INTEREST**

Describe any conflict of interest your organization, its parent, or a subsidiary, affiliate, or other entity having common ownership or management, or any of the individuals listed in Exhibit A Section 1.2, may have regarding this Project.

### § C.1 PERFORMANCE OF THE WORK

§ C.1.1 When was the Contractor's Project Office established?

§ C.1.2 How many full-time field and office staff are respectively employed at the Contractor's Project Office?

**§ C.1.3** List the business license and contractor license or registration numbers for the Contractor's Project Office that pertain to the Project.

§ C.1.4 Identify key personnel from your organization who will be meaningfully involved with work on this Project and indicate (1) their position on the Project team, (2) their office location, (3) their expertise and experience, and (4) projects similar to the Project on which they have worked.

§ C.1.5 Identify portions of work that you intend to self-perform on this Project.

§ C.1.6 To the extent known, list the subcontractors you intend to use for major portions of work on the Project.

### § C.2 EXPERIENCE RELATED TO THE PROJECT

- § C.2.1 Complete Exhibit D to describe up to four projects performed by the Contractor's Project Office, either completed or in progress, that are relevant to this Project, such as projects in a similar geographic area or of similar project type. If you have already completed Exhibit D, but want to provide further examples of projects that are relevant to this Project, you may complete Exhibit E.
- § C.2.2 State the total dollar value of work currently under contract at the Contractor's Project Office:
- § C.2.3 Of the amount stated in Section C.2.2, state the dollar value of work that remains to be completed:
- § C.2.4 State the average annual dollar value of construction work performed by the Contractor's Project Office during the last five years.
- § C.2.5 List the total number of projects the Contractor's Project Office has completed in the last five years and state the dollar value of the largest contract the Contractor's Project Office has completed during that time.

### § C.3 SAFETY PROGRAM AND RECORD

- § C.3.1 Does the Contractor's Project Office have a written safety program?
- § C.3.2 List all safety-related citations and penalties the Contractor's Project Office has received in the last three years.
- § C.3.3 Attach the Contractor's Project Office's OSHA 300a Summary of Work-Related Injuries and Illnesses form for the last three years.
- § C.3.4 Attach a copy of your insurance agent's verification letter for your organization's current workers' compensation experience modification rate and rates for the last three years.

### § C.4 INSURANCE

- § C.4.1 Attach current certificates of insurance for your commercial general liability policy, umbrella insurance policy, and professional liability insurance policy, if any. Identify deductibles or self-insured retentions for your commercial general liability policy.
- § C.4.2 If requested, will your organization be able to provide property insurance for the Project written on a builder's risk "all-risks" completed value or equivalent policy form and sufficient to cover the total value of the entire Project on a replacement cost basis?
- § C.4.3 Does your commercial general liability policy contain any exclusions or restrictions of coverage that are prohibited in AIA Document A101-2017, Exhibit A, Insurance A.3.2.2.2? If so, identify.

§ C.5 SURETY

§ C.5.1 If requested, will your organization be able to provide a performance and payment bond for this Project?

§ C.5.2 Surety company name:

§ C.5.3 Surety agent name and contact information:

§ C.5.4 Total bonding capacity:

§ C.5.5 Available bonding capacity as of the date of this qualification statement:



## Contractor's Past Project Experience

	1	2	3	4
PROJECT NAME				
PROJECT LOCATION				7
PROJECT TYPE				
OWNER				>
ARCHITECT				
CONTRACTOR'S PROJECT EXECUTIVE				
KEY PERSONNEL (include titles)				
PROJECT DETAILS	Contract Amount	Contract Amount	Contract Amount	Contract Amount
	Completion Date	Completion Date	Completion Date	Completion Date
	% Self-Performed Work	% Self-Performed Work	% Self-Performed Work	% Self-Performed Work
PROJECT DELIVERY METHOD	Design-bid-build Design-build CM constructor CM advisor Other:	Design-bid-build Design-build CM constructor CM advisor Other:	☐ Design-bid-build ☐ Design-build ☐ CM constructor ☐ CM advisor ☐ Other:	☐ Design-bid-build ☐ Design-build ☐ CM constructor ☐ CM advisor ☐ Other:
SUSTAINABILITY CERTIFICATIONS				



# $\blacksquare AIA^{\circ}$ Document A305 $^{\text{TM}}$ – 2020 Exhibit E

# Contractor's Past Project Experience, Continued

	1	2	3	4
PROJECT NAME				
PROJECT LOCATION				
PROJECT TYPE				
OWNER				>
ARCHITECT				
CONTRACTOR'S PROJECT EXECUTIVE				
KEY PERSONNEL (include titles)				
PROJECT DETAILS	Contract Amount	Contract Amount	Contract Amount	Contract Amount
		Completion Date	Completion Date	Completion Date
	% Self-Performed Work	% Self-Performed Work	% Self-Performed Work	% Self-Performed Work
PROJECT DELIVERY METHOD	Design-bid-build Design-build CM constructor CM advisor Other:	Design-bid-build Design-build CM constructor CM advisor Other:	☐ Design-bid-build ☐ Design-build ☐ CM constructor ☐ CM advisor ☐ Other:	☐ Design-bid-build ☐ Design-build ☐ CM constructor ☐ CM advisor ☐ Other:
SUSTAINABILITY CERTIFICATIONS				